# Surveillance Rule

## Prohibited Assets

### Version 1

## Definition.

Trading in assets that are restricted for person/s or groups within an organisation for a given period of time due to fiduciary duty.

## Red Deer Rule Implementation.

Required data.

Orders from the corporate trading system.

List of barred assets, date ranges and people combinations.

Rule parameters.

None.

Rule.

If a trade is executed on the corporate trading system that breaches all of the barred asset, date ranges and trader/s for a given provided combination than an alert is raised with high confidence.

## Future editions.